

# Data Collection and Information Sharing

All financial companies need to acquire and share customers' personal information in order to run their everyday business. The types of personal information we collect can include:

- Social Security number
- Investment experience
- Income
- Account transactions
- Assets
- Retirement assets



When you are no longer our customer, we will continue to hold your information and share it as described in this notice.

In this chart, we list the reasons financial companies can share their customers' personal information, the reasons the firms choose to share personal information, and whether you can limit this sharing.

Reason We Share Your Personal Information	Do We Share this information?	Can You Limit this Sharing?
For our everyday business and reporting, such as to process your transactions, aggregate and maintain your account(s), respond to court orders and legal investigations, or to report to credit bureaus	Yes	Yes
For our marketing purposes, to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes ~ information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes ~ information about your creditworthiness	No	We don't share
For non-affiliates to market to you	No	We don't share
With broker-dealer firms having regulatory requirements to supervise advisory activities	Yes	No

## Frequently Asked Questions

 How does the firm collect my personal information?

We collect your personal information, for example, when you:

- Open an account
- Enter into an investment advisory account
- Apply for insurance
- Tell us about your investment or retirement portfolio
- Seek advice about your investments

We also collect your personal information from others such as credit bureaus, affiliates, or other companies.

 How does the firm protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

 Why can't I limit all sharing?

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes
- Information about your creditworthiness
- Affiliates from using your information for marketing purposes
- Sharing for non-affiliates to market to you

(State laws and individual companies may give you additional rights to limit sharing.)

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## Frequently Asked Questions, continued

### Who is considered an affiliate?

Affiliates are companies related by common ownership or control. They can be financial and nonfinancial companies. We do not share with our affiliates.

### Who is a non-affiliate?

Non-affiliates can be financial and nonfinancial companies with whom we are not related by common ownership or control. We do not share with non-affiliates.

### What is joint marketing?

A formal agreement between nonaffiliated financial companies that together market financial products or services to you. We do not participate in joint marketing projects.

## Other Important Information

The firm may share your information with broker-dealer firms having regulatory requirements to supervise certain of its activities.

If you have questions about this policy or about the ways in which we safeguard your privacy, please ask your financial advisor, or call us at 804-270-4470.

Or e-mail us at

[info@themainstreetgroup.com](mailto:info@themainstreetgroup.com).



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**The Main Street Group**  
*Independent Wealth Manager*

# Privacy Policy



Financial companies choose how they share your personal information.

Federal law gives consumers the right to limit some, but not all, sharing.

Federal law also requires us to tell you how we collect, share, and protect your personal information.

Please read this notice carefully to understand what we do.